



WINSTON-SALEM STATE UNIVERSITY

MEETING OF THE BOARD OF TRUSTEES
Audit, Risk, and Compliance Committee
Thursday, March 15, 2018
10 – 11:30 AM

Winston-Salem State University
Chancellor’s Conference Room
200 Blair Hall
Winston-Salem, NC 27110

COMMITTEE MEMBERS:

Robert Clark, Chair
Jerry Shortt
Kathleen Kelly
Kelvin Farmer
William Harris, ex officio

COMMITTEE STAFF:

Shannon Henry, Chief Audit, Risk, and Compliance Officer
Ivey Brown, General Counsel
Austin Grier, Staff

AGENDA

OPEN SESSION

1. Call to Order and Confirm Quorum.....Chairman Clark
2. Approval of Minutes from the December 7, 2017 Meeting.....Chairman Clark
3. Enterprise Risk Management:.....Shannon Henry
 - a. Discussion of Implementation Approach and Status
 - b. Approval of Enterprise Risk Management and Compliance Policy**
 - c. Review of Enterprise Risk Management Steering Committee Charter & Governance Structure
 - d. Discussion of Risk Assessment
4. Other Matters of Governance, Risk, and Compliance.....Various
 - a. Information Governance and Security – Shannon Henry, Derrick Murray and Raisha Cobb
 - i. Audit, Risk, and Compliance Committee Charter Revised**
 - ii. Program Update
 - b. Environmental Health & Safety – Aaron Leftwich

- i. Fire and Safety Inspection Reports
 - ii. 2018 OSHR Outreach Visit Review of EHS
- c. Research Compliance – Stephanie Evans
- d. Insurance Risk Management – Jesse Batten
- 5. Discussion of External Audits & Reviews (if any).....S. Henry & WSSU Management
 - a. None to report at this time.

Note: Information related to any external audits or reviews released prior to the meeting will be provided at the meeting.

- 6. Discussion of Internal Audits & Reviews.....Internal Audit Staff
 - a. Reports and Open Projects*
 - b. Summary of Observations and Recommendations for FY2018– Matters Subject to Public Inspection*

**Additional information related to this item or any internal audits or reviews released prior to the meeting will be provided at the meeting.*

CLOSED SESSION

- 7. Approval of Minutes from the December 7, 2017 Meeting.....Chairman Clark
- 8. Summary of Internal Audit’s Reports & Reviews.....Internal Audit Staff
 - a. Discussion of Special Reviews and Investigations
 - b. Summary of New and/or Open Observations and Recommendations – Matters Not Subject to Public Inspection

OPEN SESSION

- 9. Other Business.....Committee Members & Staff
- 10. Adjourn.....Chairman Clark

Action Items**